UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE DIRECTOR OF THE
UNITED STATES PATENT AND TRADEMARK OFFICE

In the Matter of	)	
	)	
Kaleb Y. Pan,	)	Proceeding No. D2026-33
	)	
Respondent	)	
	)	

AMENDED FINAL ORDER[1]

The Director of the United States Patent and Trademark Office ("USPTO" or "Office") received for review and approval from the Director of the Office of Enrollment and Discipline ("OED Director") a Proposed Settlement of Disciplinary Matter Pursuant to 37 C.F.R. § 11.26 ("Proposed Settlement Agreement") executed by Mr. Kaleb Y. Pan ("Respondent") on June 5, 2026, and executed by the OED Director on June 8, 2026. Respondent submitted the 5-page Proposed Settlement Agreement to the USPTO to settle the above-identified disciplinary proceeding without a hearing.

For the reasons set forth herein, Respondent's Proposed Settlement Agreement shall be approved, and Respondent shall be publicly reprimanded regarding his practice before the Office effective the date of this Final Order.

Jurisdiction

1. At all times relevant hereto, Respondent of Andover, Massachusetts, is a registered patent attorney (Registration Number 67,454). Respondent is subject to the USPTO Rules of Professional Conduct, 37 C.F.R. § 11.101 *et seq.*

2. The USPTO Director has jurisdiction over this matter pursuant to 35 U.S.C. §§ 2(b)(2)(D) and 32 and 37 C.F.R. §§ 11.19, 11.20, and 11.26.

Joint Stipulated Facts

3. At all times relevant, Respondent has been an attorney licensed to practice law in Massachusetts (Board of Bar Overseers No. 660593). His practice primarily focuses on real estate law.

4. At all relevant times, Respondent has also been a registered practitioner (Reg. No. 67,454). Although registered, Respondent has not been engaged in practice before the Office in approximately five years.

[1] This Amended Final Order is being issued to correct the title of the notice to be published in the Official Gazette.

5. On June 30, 2025, the Massachusetts Board of Bar Overseers publicly reprimanded Mr. Pan in *In the Matter of Kaleb Pan* (Public Reprimand No. 2025-6) (order amended July 17, 2025) on ethical grounds in connection with violations of rule 1.15 of that jurisdiction's rules of professional conduct concerning his failure to safekeep client funds.

6. Respondent represents that the required records for his client trust accounts, including required reconciliations, are current and in compliance with Massachusetts rules.

Additional Considerations

7. Respondent fully cooperated with OED's investigation, *e.g.*, by providing full and candid responses to OED's request for information (including offering supplemental documentation *sua sponte*) and agreeing to meet with OED to discuss the matter.

8. Respondent has acknowledged his ethical lapses, demonstrated genuine contrition, and accepted responsibility for his acts and omissions.

Joint Legal Conclusions

9. Respondent acknowledges that, based on the information contained in the joint stipulated facts, above, that Respondent's acts and omissions violated 37 C.F.R. § 11.804(h)(1) (becoming publicly disciplined on ethical or professional misconduct grounds by any duly constituted authority of a State) by being publicly reprimanded by the Board of Bar Overseers of the Supreme Judicial Court of the Commonwealth of Massachusetts.

Agreed-Upon Sanction

10. Respondent freely and voluntarily agreed, and it hereby ORDERED that:

a. Respondent is hereby publicly reprimanded;

b. The OED Director shall electronically publish the Final Order at the OED's electronic FOIA Reading Room, which is publicly accessible through the Office's website at: https://foiadocuments.uspto.gov/oed/;

c. The OED Director shall publish a notice in the *Official Gazette* that is materially consistent with the following:

Notice of Public Reprimand

This notice concerns Kaleb Y. Pan of Andover, Massachusetts, who is a registered patent attorney (Registration Number 67,454) and an attorney licensed in Massachusetts (Board of Bar Overseers No. 660593). The USPTO Director has ordered that Mr. Pan be reprimanded for violating 37 C.F.R. § 11.804(h)(1) (becoming publicly disciplined on professional misconduct grounds by a State), predicated on Mr. Pan being publicly reprimanded by Massachusetts for violations of rule 1.15 of that

jurisdiction's rules of professional conduct concerning his failure to safekeep client property.

At all relevant times, Mr. Pan has been a registered practitioner, but he has not been engaged in practice before the Office in patent matters for approximately five years. His practice primarily focuses on real estate law in Massachusetts. On June 30, 2025, the Massachusetts Board of Bar Overseers publicly reprimanded Mr. Pan in *In the Matter of Kaleb Pan* (Public Reprimand No. 2025-6) (order amended July 17, 2025) on ethical grounds in connection with violations of rule 1.15 of that jurisdiction's rules of professional conduct concerning his failure to safekeep client funds. Mr. Pan represented to OED that the required records for his client trust accounts, including required reconciliations, are current and in compliance with Massachusetts rules.

Mr. Pan fully cooperated with OED's investigation, *e.g.*, by providing full and candid responses to OED's request for information (including offering supplemental documentation *sua sponte*) and agreeing to meet with OED to discuss the matter. Mr. Pan has acknowledged his ethical lapses, demonstrated genuine contrition, and accepted responsibility for his acts and omissions.

This action is the result of a settlement agreement between Mr. Pan and the OED Director pursuant to the provisions of 35 U.S.C. §§ 2(b)(2)(D) and 32 and 37 C.F.R. §§ 11.19, 11.20, and 11.26. Disciplinary decisions involving practitioners are posted for public reading at the Office of Enrollment and Discipline Reading Room accessible at: https://foiadocuments.uspto.gov/oed/.;

d. Nothing in the Proposed Settlement Agreement or this Final Order shall prevent the Office from considering the record of this disciplinary proceeding, including the Final Order: (1) when addressing any further complaint or evidence of the same or similar misconduct concerning Respondent brought to the attention of the Office, and (2) in any future disciplinary proceeding against Respondent (A) as an aggravating factor to be taken into consideration in determining any discipline to be imposed, and/or (B) to rebut any statement or representation by or on Respondent's behalf;

e. Based on Respondent's agreement to do so, Respondent waives all rights to seek reconsideration of the Final Order under 37 C.F.R. § 11.56, waives the right to have the Final Order reviewed under 37 C.F.R. § 11.57, and waives the right otherwise to appeal or challenge the Final Order in any manner; and

f. The OED Director and Respondent shall each bear their own costs incurred to date and in carrying out the terms of this Final Order.

TRICIA CHOE Digitally signed by TRICIA CHOE Date: 2026.06.16 10:31:49 -04'00'

Tricia Choe
Associate General Counsel for General Law
United States Patent and Trademark Office

Date

on delegated authority by

John A. Squires
Under Secretary of Commerce for Intellectual Property and
Director of the United States Patent and Trademark Office

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that the foregoing Final Order was sent, on this day, to the parties in the manner indicated below:

Via first-class certified mail, return receipt requested:

Kaleb Y. Pan
The Law Office of Kaleb Pan
1 Elm Square
Suite 3A-1
Andover, MA 01810
Respondent

Via email:

Sydney O. Johnson
Senior Counsel for Enrollment and Discipline Litigation
Office of the Solicitor
[redacted]

Paul Nguyen-Ba
Senior Counsel for Patent and Trademark Disciplinary Matters
Office of Enrollment and Discipline
paul.nguyen-ba@uspto.gov

6/16/2026
Date

Laura L Nicholson
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450