

UNITED STATES PATENT AND TRADEMARK OFFICE

In the Matter of:)
John Roger Castiglione,) Proceeding No. D2020-11
Respondent.)

FINAL ORDER PURSUANT TO 37 C.F.R. § 11.25

Pursuant to 37 C.F.R. § 11.25, the interim suspension of John Roger Castiglione ("Respondent") from the practice of patent, trademark, and other non-patent law before the United States Patent and Trademark Office ("USPTO") is hereby ordered for violation of 37 C.F.R. §§ 11.804(b). It is further ordered that the "Disciplinary Complaint Pursuant to 35 U.S.C. § 32 and 37 C.F.R. § 11.25" be referred to a hearing officer for the purpose of conducting a formal disciplinary proceeding.

Background

On June 24, 2019, the United States District Court for the District of Massachusetts issued a Judgment in a Criminal Case in United States v. John Castiglione, Case Number 3:19-cr-30002-001 (MGM) convicting Respondent of felony Bulk Cash Smuggling Into the United States, in violation of 31 U.S.C. § 5332(a)(1) and 18 U.S.C. § 2.

On March 16, 2020, a "Notice and Order Pursuant to 37 C.F.R. § 11.25" ("Notice and Order"), mailed by certified mail (receipt nos. 70161970000031995712 and 70161970000031995129), notified Respondent that the Director of the Office of Enrollment and Discipline ("OED Director") had filed a "Request for Notice, Order, Interim Suspension, and Referral for Further Proceedings Pursuant to 37 C.F.R. § 11.25" requesting that the Director of the USPTO impose an interim suspension upon Respondent predicated on evidence that

Respondent committed a serious crime, which was the felony offense of Bulk Cash Smuggling Into the United States, in violation of 31 U.S.C. § 5332(a)(1) and 18 U.S.C. § 2, together with a “Disciplinary Complaint Pursuant to 35 U.S.C. § 32 and 37 C.F.R. §§ 11.19 and 11.25” (“Complaint”). The Notice and Order was delivered to Respondent on March 21, 2020.

The Notice and Order provided Respondent an opportunity to file, within forty (40) days, a response opposing the imposition of discipline. Respondent has not filed a response to the Notice and Order.

Analysis

In light of Respondent’s failure to file a response, it is hereby determined that there is no genuine issue of material fact under 37 C.F.R. § 11.25 and an interim suspension of Respondent from the practice of patent, trademark, and non-patent law before the USPTO is appropriate.

ACCORDINGLY, it is hereby **ORDERED** that:

1. Respondent be suspended on an interim basis from the practice of patent, trademark, and other non-patent law before the USPTO effective the date of this Final Order;
2. Respondent is granted limited recognition to practice before the Office commencing on the date of this Order and expiring thirty (30) days after the date this Order is signed, with such limited recognition being granted for the sole purpose of facilitating Respondent’s compliance with the provisions of 37 C.F.R. § 11.58(b);
3. The Complaint (a copy of which is attached hereto) is referred, in accordance with 37 C.F.R. § 11.25(b)(5), to a hearing officer for the purpose of conducting a formal disciplinary proceeding;

4. Pursuant to 37 C.F.R. § 11.36, within thirty (30) days from the date of this Final Order, Respondent's written answer to the Complaint shall be filed with the hearing officer addressed as follows:

If sent by mail:	Docket Clerk HUD Office of Hearings and Appeals 451 7 th Street, S.W. Room B-133 Washington, D.C. 20410
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If hand-delivered: (e.g., via Federal Express or other delivery service)	Docket Clerk HUD Office of Hearings and Appeals 409 3 rd Street, S.W. Suite 201 Washington, D.C. 20024
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and Respondent must also file a PDF version of the answer with the hearing office via email to: alj.alj.@hud.gov

and a copy of the answer shall be served on the OED Director by mail to:

Sydney O. Johnson, Senior Counsel for Enrollment and
Discipline Litigation
Mail Stop 8
Office of the Solicitor
P.O. Box 1450
Alexandria, Virginia 22313-1450

5. The OED Director shall publish the following Notice in the *Official Gazette*:

Notice of Interim Suspension

This notice concerns John Roger Castiglione of Pittsfield, Massachusetts who is a registered patent attorney (Registration Number 28,403). Mr. Castiglione has been suspended from practice before the United States Patent and Trademark Office in patent, trademark and other non-patent matters on an interim basis pursuant to 37 C.F.R. § 11.25(b) by the United States Patent and Trademark Office for having been convicted of Bulk Cash Smuggling Into the United States, in violation of 31 U.S.C. § 5332(a)(1) and 18 U.S.C. § 2.

This action is taken pursuant to the provisions of 35 U.S.C. § 32 and

37 C.F.R. §§ 11.25 and 11.59. Disciplinary decisions are available for public review at the Office of Enrollment and Discipline's FOIA Reading Room, located at: <http://e-foia.uspto.gov/Foia/OEDReadingRoom.jsp>;

6. The OED Director shall give notice of this Final Order to i) appropriate employees of the USPTO; ii) interested departments, agencies, and courts of the United States; and iii) appropriate authorities of any state in which Respondent is known to be a member of the bar;

7. Respondent shall comply with 37 C.F.R. § 11.58;

8. The USPTO shall dissociate Respondent's name from any Customer Number(s) and USPTO verified Electronic System account(s), if any;

9. Respondent shall not apply for a USPTO Customer Number, shall not obtain a USPTO Customer Number, nor shall he have his name added to a USPTO Customer number, unless and until he is reinstated to practice before the USPTO;

10. If Respondent seeks a review of this suspension, any review shall not operate to postpone or otherwise hold in abeyance the suspension; and

11. Such other and further relief as the nature of this cause shall require.



5.6.2020
Date

David Shewchuk
Deputy General Counsel for General Law
United States Patent and Trademark Office

on behalf of

Andrei Iancu
Under Secretary of Commerce for Intellectual Property and

Director of the United States Patent and Trademark Office

cc:

OED Director

Mr. John Roger Castiglione
201 Wendell Ave.
Pittsfield, Massachusetts 01201

Mr. John Roger Castiglione

[REDACTED]

Respondent