

**UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE DIRECTOR OF THE
UNITED STATES PATENT AND TRADEMARK OFFICE**

In the Matter of)	
)	
Raymond J. Ho,)	Proceeding No. D2018-23
)	Proceeding No. D2018-32
Respondent)	
_____)	

FINAL ORDER

Pursuant to 37 C.F.R. § 11.27(b), the Director of the United States Patent and Trademark Office (“USPTO” or “Office”) received for review and approval from the Director of the Office of Enrollment and Discipline (“OED Director”) an Affidavit of Resignation Pursuant to 37 C.F.R. § 11.27 executed by Mr. Raymond J. Ho (“Respondent”) on March 27, 2018. Respondent submitted the 2-page Affidavit of Resignation to the USPTO for the purpose of being excluded on consent pursuant to 37 C.F.R. § 11.27. The Director of the USPTO also received from the OED Director on April 25, 2018 a Request for Notice, Order, Interim Suspension, and Referral for Further Proceedings Pursuant to 37 C.F.R. § 11.25 (*In re Raymond J. Ho*, Proceeding No. D2018-32).

For the reasons set forth herein, Respondent’s Affidavit of Resignation shall be approved, and Respondent shall be excluded on consent from practice before the Office in patent, trademark, and other non-patent matters commencing on the date of this Final Order. This Final Order resolves as moot Respondent’s pending investigation pursuant 37 C.F.R § 11.25 in *In re Raymond J. Ho*, Proceeding No. D2018-32.

Jurisdiction

Respondent of Vienna, Virginia is a registered patent attorney (Registration Number 41,838). Respondent is subject to the USPTO Rules of Professional Conduct, 37 C.F.R. § 11.101 *et seq.*

Pursuant to 35 U.S.C. §§ 2(b)(2)(D) and 32 and 37 C.F.R. § 11.27, the USPTO Director has the authority to approve Respondent's Affidavit of Resignation and to exclude Respondent on consent from the practice of patent, trademark, and other non-patent law before the Office.

Respondent's Affidavit of Resignation

Respondent acknowledges in his March 27, 2018 Affidavit of Resignation that:

1. His consent is freely and voluntarily rendered, and he is not being subjected to coercion or duress.
2. He is aware that, pursuant to 37 C.F.R. § 11.22, the OED Director opened an investigation of allegations that he violated the USPTO Rules of Professional Conduct. The investigation obtained information, *inter alia*, about his federal criminal conviction in *U.S. v. Ho*, Case No. 1:17-cr-00215 (E.D. Va. 2017):
 - a. On October 19, 2017, Mr. Ho pleaded guilty to a two-count criminal information, charging him with felony money laundering conspiracy in violation of 18 U.S.C. § 1956(h), and felony money laundering in violation of 18 U.S.C. § 1956(a)(3), filed in the Eastern District of Virginia at the Alexandria Division.
 - b. On February 2, 2018, U.S. District Judge Leonie M. Brinkema sentenced Mr. Ho.
3. He is aware that the OED Director is of the opinion based on this investigation that he violated the following provisions of the USPTO Rules of Professional Conduct: 37 C.F.R. § 11.804(b) (It is professional misconduct for a practitioner to commit a criminal act that reflects adversely on the practitioner's honesty, trustworthiness or fitness as a practitioner in other respects); 37 C.F.R. § 11.804(c) (It is professional misconduct to engage in conduct involving

dishonesty, fraud, deceit or misrepresentation); and/or 37 C.F.R. § 11.804(i) (It is professional misconduct to engage in other conduct that adversely reflects on the practitioner's fitness to practice before the USPTO).

4. Without admitting to violating any of the disciplinary rules of the USPTO Rules of Professional Conduct investigated by the OED Director, he acknowledges that, if and when he applies for reinstatement under 37 C.F.R. § 11.60 to practice before the USPTO in patent, trademark, and/or other non-patent matters, the OED Director will conclusively presume, for the purpose of determining the application for reinstatement, that:

(a) the facts regarding him in the investigation are true, and

(b) he could not have successfully defended himself against the allegations embodied in the opinion of the OED Director that he violated 37 C.F.R. §§ 11.804(b), (c), and (i).

5. He has fully read and understands 37 C.F.R. §§ 11.5(b), 11.27, 11.58, 11.59, and 11.60, and is fully aware of the legal and factual consequences of consenting to exclusion from practice before the USPTO in patent, trademark, and other non-patent matters.

6. He consents to being excluded from practice before the USPTO in patent, trademark, and other non-patent matters.

Exclusion on Consent

Based on the foregoing, the USPTO Director has determined that Respondent's Affidavit of Resignation complies with the requirements of 37 C.F.R. § 11.27(a). Accordingly, it is hereby ORDERED that:

1. Respondent's Affidavit of Resignation shall be, and hereby is, approved;

2. Respondent shall be, and hereby is, excluded on consent from practice before the Office in patent, trademark, and other non-patent matters commencing on the date of this Final Order;

3. The OED Director shall electronically publish the Final Order at the Office of Enrollment and Discipline's electronic FOIA Reading Room, which is publicly accessible at <http://e-foia.uspto.gov/Foia/OEDReadingRoom.jsp>;

4. The OED Director shall publish a notice in the *Official Gazette* that is materially consistent with the following:

Notice of Exclusion on Consent

This notice concerns Mr. Raymond J. Ho, a registered patent attorney (Registration No. 41,838). The Director of the United States Patent and Trademark Office ("USPTO" or "Office") has accepted Mr. Ho's affidavit of resignation and ordered his exclusion on consent from practice before the Office in patent, trademark, and non-patent law.

Mr. Ho voluntarily submitted his affidavit at a time when a disciplinary investigation was pending against him. The investigation concerned Mr. Ho's October 19, 2017 conviction of felony money laundering (18 U.S.C. § 1956(a)(3)) and felony conspiracy to commit money laundering (18 U.S.C. § 1956(h)) in the United States District Court in the Eastern District of Virginia at the Alexandria Division. Mr. Ho acknowledged that the OED Director was of the opinion that his conduct violated 37 C.F.R. § 11.804(b) (It is professional misconduct for a practitioner to commit a criminal act that reflects adversely on the practitioner's honesty, trustworthiness or fitness as a practitioner in other respects); 37 C.F.R. § 11.804(c) (It is professional misconduct to engage in conduct involving dishonesty, fraud, deceit or misrepresentation); and/or 37 C.F.R. § 11.804(i) (It is professional misconduct to engage in other conduct that adversely reflects on the practitioner's fitness to practice before the USPTO).

While Mr. Ho did not admit to violating any of the disciplinary rules of the USPTO Rules of Professional Conduct as alleged in the pending investigation, he acknowledged that, if and when he applies for reinstatement, the OED Director will conclusively presume, for the limited purpose of determining the application for reinstatement, that (i) the facts set forth in the OED investigation against him are true, and (ii) he could not have successfully defended himself against the allegations embodied in the

opinion of the OED Director that he violated 37 C.F.R. §§ 11.804(b), (c), and (i).

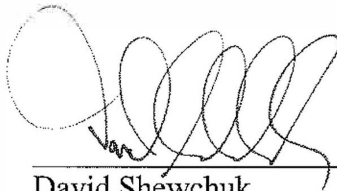
This action is taken pursuant to the provisions of 35 U.S.C. §§ 2(b)(2)(D) and 32, and 37 C.F.R. §§ 11.27 and 11.59. Disciplinary decisions involving practitioners are posted for public reading at the Office of Enrollment and Discipline Reading Room, available at: <http://e-foia.uspto.gov/Foia/OEDReadingRoom.jsp>.

5. Respondent shall comply fully with 37 C.F.R. § 11.58; and
6. Respondent shall comply fully with 37 C.F.R. § 11.60 upon any request for

reinstatement.

5/2/18

Date



David Shewchuk
Deputy General Counsel for General Law
United States Patent and Trademark Office

on delegated authority by

Andrei Iancu
Under Secretary of Commerce for Intellectual Property and
Director of the United States Patent and Trademark Office

cc:

Director of the Office of Enrollment and Discipline
U.S. Patent and Trademark Office

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