

**UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE DIRECTOR OF THE
UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Leo R. Carroll,
Respondent.**

Proceeding No. D01-03

FINAL ORDER

The attached Proposed Settlement of Disciplinary Matter executed by Leo R. Carroll, Respondent, and Harry I. Moatz, Director of Enrollment and Discipline (OED Director) conforming to the requirements set forth in 37 C.F.R. § 10.133(g), is hereby APPROVED AND ENTERED. Accordingly it is:

ORDERED that Respondent is suspended from practice before the United States Patent and Trademark Office (USPTO) for one year.

ORDERED that the following Notice shall be published in the Official Gazette:

Notice of Suspension

Leo R. Carroll, of Rancho Cucamonga, California, a patent attorney whose registration number is 29,053, has been suspended for twelve months beginning _____ (30 days from the date the USPTO Director signs the final order of suspension or August 1, 2001, whichever is later) from practice before the United States Patent and Trademark Office in patent and non-patent law cases pursuant to the provisions of 35 U.S.C. § 32, and 37 C.F.R. § 10.133 (g).

ORDERED that upon suspension, Respondent shall comply with the provisions of 37 C.F.R. § 10.158.

ORDERED that after being suspended for one year and after showing compliance with 37 C.F.R. § 10.158, Respondent may petition for reinstatement in accordance with 37 C.F.R. § 10.160.

ORDERED that the OED Director waive the provisions of §§ 10.160(c)(1) and (2) so that Respondent will not be required to take and pass an examination or to pay costs and expenses, provided Respondent has fully complied with the terms of the settlement agreement for the entire twelve-month suspension period.

7/16/01
Date

Nicholas P. Godici
Nicholas P. Godici
Acting Under Secretary of Commerce for Intellectual Property and
Acting Director of the United States Patent and Trademark Office

Attachment: Proposed Settlement Agreement

cc: Harry I. Moatz, Esq.
Director of Enrollment and Discipline

Leo R. Carroll, Esq.