		ICT / ORDER FO' OMMERCIAL ITEN OMPLETE BLOCK. 2, 17, 23, 24, & 30				18	1. REQUISITION NUMF 163P0100156				PAGE 1 OF 19	
2, CONTRACT NO. 50PAPT101025	<u> </u>	3. AWARD/EFFEC DATE 08/1		4. ORDER NU			5. SOLICITATION NUMBER			6. SOLICITATION ISSUE DATE		
7. FOR SOLICITATION		a. NAME					b. TELEPHONE NUMBER (No collect cells)			8. OFFER DUE DATE/ LOCAL TIME		
JINEOEMATION O	CALL:		CODE	*	10. THIS A	ACQU	ISITION IS	.] .	I1. DELIVERY FOR	12 DISCOL	UNT TERMS	
	•		L		IŽLUNR	ESTR	FO		FOB DESTINATION	10 da		
OFFICE OF PROCUR	EMENT				UNRESTRICTED			1.	JNLESS BLOCK IS MARKED	20 da	•	
Office of Procurement									SEE SCHEDULE	30 days		
US Patent and Traden	d Trademark Office Orive Suite 810				_ =		BUSINESS	F		E days % ONTRACT IS A RATED		
•	IE O I D						DISAV, BUSI			DER DPAS (1	5 CFR 700)	
Arlington,VA 22202					-		/1000		I3b. RATING			
TEL: () - ext.					NAICS SIZE				4. METHOD OF SOL			
FAX: () - ext.					1		500,001-5,000	,000	☐ RFQ	☐ IFB	RFP	
			CODE		16. ADMIN	NISTE	RED BY			C	DDE 000PA	
Scientific and Technic U.S. Patent and Trade			124 C Clark	· Diana CD2W E	Office of							
U.S. Patent and I rade	amark Onice, i i	ansiauon branch,20	JZT S. CRIK	Place, CP3/4, F	U.S. Pate	ent an	d Trademark C	Office, 20	r11 Crystal Drive, Suit	e 810		
Arlington,VA 22202 Attn: John Graham					Arlington	,VA 2	2202					
17a, CONTRACTOR/ OFFEROR	CODE	1	FACILITY		18a. PAYN	VENT	WILL BE MAD	E BY		Ç	DDE *	
SCHREIBER TRANSI	ATIONS		00DL L		Office of							
51 MONROE STREET					ŧ			ce,2011	Crystal Drive, Suite 8	02		
ROCKVILLE,MD 20850					Arlington,VA 22202							
TELEPHONE NO.(301) 17b. CHECK IF REMIT	_	FERENT AND DUT	SUCH AD	DRESS IN	18h SURA	MIT IN	MOICES TO A	DDDES	S SHOWN IN BLOCK	40- LINE CO	BI OOK	
OFFER	I TAIL IS DA	· CREAT FROI O	0001172	DALOG KI			CHECKED	DUILL.	SEE ADDEND		BLOCK	
19.		COLEDINE OF	20.	(SED) NOVES	:	1	21.	22.	23.		24.	
ITEM NO.		SCHEDULE OF	SUPPLIES	SEKVICES			QUANTITY	UNIT	T UNIT P	RICE	AMOUNT	
		ch Additional Sheet	s as Neces	sary)								
25. ACCOUNTING AN		TION DATA - A - 163330 - - 25	28 5 252	21 - 163330		26. TOTAL AWARD AMOUNT \$ 10,000,00				(For Gvt, Use Only)		
27a, SOLICITATIO							ND 52,212-5	ARE AT	<u></u>		ARE NOT ATTACH	
27b. CONTRACT/F	PURCHASE OF	DER INCORPORA	TES BY RE	FERENCE FAR	52.212-4. F	FAR 5	2.212-5 IS ATT	FACHED	. ADDENDA		ARE NOT ATTACH	
28, CONTRACTOR IS F					COPIES	29.		ONTRA	CT; REFERENCE		OFFER	
TO ISSUING OFFI SET FORTH OR C SUBJECT TO THE	OTHERWISE ID	ENTIFIED ABOVE	AND ON A	NY ADDITIONAL					YOUR OFFER DITIONS OR CHANG ED AS TO ITEMS:	ON SOLICIT ES WHICH A	ATION (BLOCK) RESET FORTH	
30a, SIGNATURE OF C	FEEROR/CON	TRACTOR				31a	ATS GÉTINU).	TES OF	AMERICA (SIGI)	ATURE OF C	ONTRACTING OFICE	
TI Dall		III W					YUZ	o- `	Xland	سعدا		
306. NAME AND TITLE	OF SIGNER	(Type or print)		30c. DATE SIG	NED	31b	NAME OF CO	NTRAC	TING OFFICER (Type or print)	31c. DATE SIGNED	
- Maria 3	SUMIN	Ω		2/9/0	jc		Dora Sanders				8/9/200	
32a, QUANTITY IN CO	LUMN 21 HAS	BEEN	-			33, 5	HIP NUMBER	<u> </u>	34. VOUCHER NUI	/BER	35, AMOUNT VERIFIE CORRECT FOR	
RECEIVED	INSPECT			ND CONFORMS			PARTIAL	FINAL			•	
		00	itituoi, L	NOLE I NO (NO)		36, F	PAYMENT	·			37. CHECK NUMBER	
32b. SIGNATURE OF A	LITHOPIZED G	·OVT		32c. DATE		∤ □ ⋅	COMPLETE	<u></u> □ F	PARTIAL 📋 F	INAL		
REPRESENTATIV				320. DATE		38. 8	R ACCOUNT	NUMBE	R 39. S/R VOUCHE	R NUMBER	40. PAID BY	
						42a.	RECEIVED BY	(Prin	"	-		
41a. I CERTIFY TH	IS ACCOUNT	S CORRECT AND	PROPER F	OR PAYMENT	· .	1						
416. SIGNATURE AND				41c. DATE		42b.	RECEIVED AT	r (Loca	ition)		_	
	, ,	· · · · · · · · · · · · · · · · · · ·	-					•		•	·	
							DATE REC'D		42d. TOTAL CO	NTAINERS		
						L''"			1		Ī	

Line Item Document Numb/ Title Page Summary 50PAPT101025 Shcreiber MachineAsstHumTrans. 2 of 19 Total Funding: \$10,000.00 FYs Fund **Budget Org** Sub **Object Class** Sub Program **Cost Org** Sub Proj/Job No. **Sub Reporting Category** 2001 163330 2528 S25221 163330 Cancelled Fund Division Closed FYs Delivery Date Unit of Line Item **Total Cost** Number Issue. Description Quantity (Includes Discounts) (Start Date to End Date) Unit Price 0001 0,00 \$.000 \$ 0.00 **Total Cost:** \$0.00

Contract Level Funding Summary

Document Num* 50PAPT101025

Title

Shcreiber MachineAsstHumTrans.

Page 3 of 19

2001 - - A - 163330 - - 2528 - - \$25221 - 163330 - - - - - - -

\$10,000.00

Reference Requisition:

163P0100156

Total Funding: \$10,000.00

	TABLE OF CONTENTS	PAGE
Section B	SUPPLIES OR SERVICES AND PRICES/COSTS	4
Section C	STATEMENT OF WORK/SPECIFICATIONS	6
Section D	PACKAGING AND MARKING	10
Section E	INSPECTION AND ACCEPTANCE	10
Section F	DELIVERIES OR PERFORMANCE	11
Section G	CONTRACT ADMINISTRATION DATA	11
Section H	SPECIAL CONTRACT REQUIREMENTS	3
Section I	CONTRACT CLAUSES	14
CONTRACT DOCUMENT	TS, EXHIBITS, OR ATTACHMENTS	10

THE SCHEDULE SUPPLES OR SERVICES AND PRICES/COSTS

SCHEDULE OF PRICES

BASIC CONTRACT PERIOD: August 15, 2001 through August 14, 2002

CLIN 	DESCRIPTION OF SERVICES HUMAN ASSISTED MACHINE TRANSLATION LANGUAGE INTO ENGLISH	UNIT EACH	EST QTY. 	PRICE STANDARD 	PRICE RUSH
 0001 	Japanese (text & images) 	DOC	 50 a week	DOCUMEN EXEMPTION	T REDACTED
0002 	Other Languages (text & images)	 	Week	5 U.S.C. § 5	ì

OPTION Year 1: August 15, 2002 through August 14, 2003

CLIN 	DESCRIPTION OF SERVICES HUMAN ASSISTED MACHINE TRANSLATION LANGUAGE INTO ENGLISH	UNIT EACH	EST	PRICE STANDARD	PRICE RUSH
11001	Japanese (text & images)	DOC	56 a	DOCUMEN	NT REDACTED
 1002 	Other Languages (text & images)	1	week	EXEMPTION 5 U.S.C. § 5	·
I	·	_1	_!ı		Prima

OPTION Year 2: August 15, 2003 through August 14, 2004

CLIN	DESCRIPTION OF SERVICES HUMAN ASSISTED MACHINE TRANSLATION LANGUAGE INTO ENGLISH	UNIT EACH 	EST QTY.	PRICE STANDARD	PRICE RUSH
2001	 Japanese (text & images)	Doc	63 a	DOCUMEN EXEMPTION	T REDACTED
12002	Other Languages (text & images)		week 	5 U.S.C. § 5	
1	1	 Page 4			1

SCHEDULE OF PRICES

OPTION Year 3: August 15, 2004 through August 14, 2005

CLIN 	DESCRIPTION OF SERVICES HUMAN ASSISTED MACHINE TRANSLATION LANGUAGE INTO ENGLISH	UNIT EACH	EST QTY.	PRICE STANDARD 	PRICE RUSH
3001	Japanese (text & images)	DOC	71 a		NT REDACTED ON 4 FOIA
 3002 	 Other Languages (text & images)	 - -	{week 	5 U.S.C. §	

OPTION Year 4: August 15, 2005 through August 14, 2006

CLIN	DESCRIPTION OF SERVICES HUMAN ASSISTED MACHINE	UNIT	EST	PRICE STANDARD	PRICE RUSH
1	TRANSLATION LANGUAGE	EACH		SIMOMO	KUSII
i	INTO ENGLISH	İ	İ	İ	i
1		_¦	_		
 4001 	 Japanese (text & images) 	DOC	80 a		Γ REDACTED
 4002 	 Other Languages (text & images)		week 	EXEMPTIO 5 U.S.C. § 55	
[[1mages)		 		

(Note: Above prices include all costs for procuring the foreign language text as well as the production and delivery of a human assisted machine translation document accompanied by the original foreign language text and all Japanese documents regardless of publication date.)

The Quantity that the Government anticipates ordering is: MINIMUM QUANTITY to be ordered is 10% of the estimated total volume of work. MAXIMUM QUANTITY to be ordered may be 120% of the estimated total volume of work. This estimated quantity is just an estimate and the actual workload will be dependent on requests received in the branch. Under this contract orders shall be initiated only by USPTO. The service must be within the scope of the contract. The Government is only liable for services expended under the terms and conditions of the contract to the extent that a fully executed order has been issued and covers the required items.

STATEMENT OF WORK/SPECIFICATIONS

C.1 Description of Services

The Contractor shall furnish the necessary personnel, material, equipment, services and facilities (except as otherwise specified), to perform the following statement of work for Human Assisted Machine Translation services for use by the U.S. Patent and Trademark Office (USPTO).

C.2 Background/History

The Government has a requirement to provide human assisted machine translations of patent literature from various foreign languages into English. These human assisted machine translations are used by patent examiners in their examination of patent applications and by other staff of the USPTO for various purposes, including legal support. As these documents frequently serve as documentation in USPTO actions, clarity and accuracy are essential. The translated documents must be supplied in a timely fashion to accommodate the prosecution schedules of the users.

C.3 Scope

The scope of this contract is to provide the Government with accurate, high-quality human assisted machine translations of patent literature (patents, utility models, published patent application, abstracts, etc.). The material to be translated is primarily scientific and technical, can relate to any field, and is frequently difficult linguistically and in content. The USPTO reserves the right to issue orders as needed, including submitting multiple document requests in a single order.

C.4 Réquirements

The Contractor shall provide the items specified below:

- C.4.1 High-quality human assisted machine translations of patent documents from any foreign language into standard American English.
- C.4.2 Item deliverable One (1) copy each of each translation in electronic format sent to a USPTO designated e-mail address. The translation shall be accompanied by the original foreign language text.

C.5 Quality Standards

The human assisted machine translations shall accurately reflect the contents of the foreign language original, with minimal or no distortions or omissions. The technical vocabulary used in the translation shall be appropriate within the parameters of usage for the given field and shall be consistent within the given document. The English of the translation shall be comprehensible to the user-specialist.

C.5.1 Translator Qualifications

The Contractor will provide evidence that the individuals used as translators are linguistically and technically competent, either through education or experience, or a combination of the two. The Contractor is required to name key personnel for this contract, to give evidence of their qualifications. Statement of intent from the translators must also be provided, showing each translator's consent to accept employment from the Contractor. The USPTO shall be notified of the qualifications of new translators the Contractor hires to perform USPTO work. The Contractor shall also inform the USPTO when translators who have been translating USPTO documents cease working for the Contractor.

C.5.2 Editing

The Contractor will exercise editorial control of the translations with regard to both technical accuracy and stylistic acceptability. Work that has clearly not been edited and/or is judged by the USPTO to be deficient will be rejected and the USPTO will not pay for such translations.

C.5.3 Patent to be Translated

The Contractor must have access to the foreign patents to be translated. USPTO will not be responsible for providing the foreign patents to the Contractor.

C.6 E-mail and Telefacsimile (FAX) Transmissions

The Contractor must have email access and own telefacsimile transmission equipment. When fax is used, a cover sheet will precede each document to be transmitted via FAX. The USPTO reserves the right to request that any translations be faxed to the USPTO. Delivery Services: The USPTO reserves the right to send requests via email, fax, or paper. The USPTO reserves the rights to request documents be delivered via fax or paper or email, although the primary method of submitting requests and receiving completed translations will be via email unless otherwise stated in the order. The Contractor shall ensure that documents reach the Translations Branch of the USPTO in accordance with the delivery schedules which are 5 business working days for STANDARD and 3 business working days for RUSH. If and when paper delivery is requested by the Translations Branch, the delivery service employed by the Contractor will be instructed to return deliveries to the following address and only to this address; should the Translations Branch move, the Contractor will be informed of the new address immediately. The address for the Translation Branch is:

> Chief, Translations Branch Scientific and Technical Information Center U.S. Patent and Trademark Office Crystal Plaza 3 / 4 Rm. 2C15 2021 South Clark Place Arlington, VA 22202.

C.7 Reduction of Parallel Translation Effort

The Contractor shall immediately notify the COTR if a newly assigned item has already been assigned to or translated by the Contractor. Document Control: The Contractor will maintain a tracking system for all orders issued under this contract by the USPTO. Upon inquiry from the COTR, the Contractor must be prepared to give the status in the translation process of any document requested of the Contractor for translation.

C.8 Packing of Paper and Floppy Disk Copies

Both paper and floppy disk copies of human assisted machine translations if and when requested, shall be packed in such a manner by the contractor as to ensure that the materials are shipped without damage in transit and arrive at their destination in a condition suitable for use.

C.9 Computation of Delivery Dates

The Contractor shall complete and deliver all work in accordance with the schedule set forth herein unless alternative delivery procedures are proposed by the Contractor and accepted by the USPTO. The first working day the Contractor's receipt of an order shall be counted as the first of the total number of working days. The required delivery date for all work shall be the final working day of the total number of working days. Saturdays, Sundays, and official Federal government holidays shall not be counted as working days. If it appears that the Contractor cannot complete the work by the due date, the Contractor must notify the COTR within two hours of receipt. The COTR shall have the option of withdrawing the order or granting additional time to complete the work. When work is being returned, the Contractor shall be held accountable for loss in transit and delay in delivery. Delivery Schedules: RUSH: One to three business working days. STANDARD: No more than five (5) business working days. Ordering Procedures: The human assisted machine translation products required by the USPTO will be requested by order sent generally via e-mail from the COTR or designated alternates.

Work Order/Delivery Advice Sheet and the Delivery Order: Order. The Order will include the patent number, the publication type and publication date for each document requested. The order will not include the full text of the patent to be translated; it is the Contractor's responsibility to have access to the document. Task Orders. The USPTO reserves the right to issue orders which may include multiple document requests.

Delay Notification: If, upon receipt of an Order, the Contractor has any reason to believe that he/she may not be able to furnish the products of the type required within the delivery time specified in the Order, he/she shall immediately notify the COTR of his/her reasons and propose an alternate delivery date. The Contractor is obligated to continue performance according to the contractual requirement while the proposal for a revised delivery date is being considered. The Contractor will be informed by telephone, e-mail or FAX within 24 hours of the COTR's decision. The COTR may grant additional time or may withdraw the order. (Note: If additional time is granted, payment will be made according to the actual delivery category.

C.10 Payment for Late Delivery

In cases in which the Contractor does not comply with "RUSH", "STANDARD" delivery services the Government may choose to reject the late translations and not pay for them, and in the case of repeated noncompliance with the delivery schedule, may hold the contractor in default of the contract.

If the Government chooses to accept a late translation, the Government will reduce the rate of payment for the document to reflect the rate for the actual delivery time as agreed upon below in reimbursing the Contractor.

In the event that the Contractor has failed to return a translation within the time specified under "STANDARD" and the Government nevertheless chooses to accept the late delivery, the Government will, in reimbursing the Contractor, reduce payment by ten percent (10%) below the rate for "STANDARD" when the translation is up to 2 working days late and by twenty percent (20%) when it is more than 3 working days late.

C.11 Specifications

The standard formats for translation documents set out below may be subject to modification or adjustment at the discretion of the COTR. The USPTO reserves the right to modify the format of any or all of the elements cited, as required for special circumstances. The USPTO requires submission of electronic translation copies in Word format or as agreed upon with USPTO.

C.12 Translation copies on Magnetic Media General

One copy of each finished translation will be supplied to the Translations Branch via e-mail in standard file format. If requested, one copy will be provided on standard 3 1/2-inch floppy disks usable on IBM or IBM PC's with a Windows operating system. The finished translation files are to be submitted as Word files or as agreed upon with USPTO.

C.13 Internal Form and Formatting

The texts sent electronically shall be supplied to the USPTO, with a consistent format. Captions and labels for drawings and/or illustrations are to be translated and included at the point where they occur in the original text.

File Names: The file naming convention for patents is as follows:

Foreign patent number + period + country code.

Foreign patent number: The number should include no commas or punctuation of any kind. In the case of Japanese and World Patent Organization patents, where the first two digits of the number are the publication year, usually followed by a hyphen, the hyphen is to be omitted. For Japanese, leading zeros are to be placed before one digit publication years and the actual document number is to be zero-filled to six digits, for example, 6-123 becomes 06000123.JP. Likewise, the actual document number for WIPO documents is zero filled to five digits.

The standard WIPO country codes are to be used. Examples: West German patent no. 2,236,782 = 2236782.DE Japanese patent no. 67-245453 = 67245453.JA. Any other naming conventions will be arranged by the USPTO with the contractor, as needed.

FLOPPY DISKS - The e-mail delivery of completed documents may hold multiple files from a single order or as agreed upon with USPTO Floppy disks, when requested, will preferably hold multiple files from a single delivery order. Each disk shall have an external label listing the names of the files contained on that disk and the Delivery Order to which they belong.

C.14 Prohibition Against Sales

Nothing provided by the Government or produced under this contract is to be sold, offered for sale, given, exchanged, or otherwise released by the Contractor to any source other than the U.S. Patent and Trademark Office.

Section D - Packaging and Marking

D.1 Addressing: The materials of the requirements shall be marked and labeled to arrive at the Translations Branch of the U.S. Patent and Trademark Office and not at any other department or division of that Office. The following address is to be used on labels:

Chief, Translations Branch Scientific and Technical Information Center U.S. Patent and Trademark Office Crystal Plaza 3/4, Rm. 2C15 2021 South Clark Place Arlington, VA 22202.

Section E - Inspection and Acceptance

- E.1 Inspection: The COTR or his/her duly authorized representative will inspect the translations supplied under this Contract. The translations will be checked for acceptability in the following areas:
- 1. Quality of Content: The translation should be an accurate rendering of the original. The translation should be expressed in comprehensible, idiomatic American English. The proper technical terms for the subject area should be used.
- 2. Quality of Presentation: The format should be consistent. No words, numbers, figures, tables, drawings, portions of text, sections of the patent, or pages should be missing. The text should be free of typographical errors.
- 3. Unacceptable Translations: Translations with incorrectly translated words or sentences, typographical errors, missing portions, or incorrect format will be returned to the contractor, and the Government will not pay for the translation. Continued evidence that the Contractor is not performing editorial quality control will lead to default and will reflect in their performance.

F. Deliveries or Performance

F.1 Period Of Performance

The effective date of the contract is August 15, 2001 through August 14, 2002 (Base year), with provisions to exercise four (4) one-year options. The Period of Performance is as follows:

Base Year:	August	15,	2001	through	August	14,	2002
Option Year 1:	August	15,	2002	through	August	14,	2003
Option Year 2:	August	15,	2003	through	August	14,	2004
Option Year 3:	August	15,	2004	through	August	14,	2005
Option Year 4:	August	15,	2005	through	August	14,	2006

- G. Contract Administration Data
- G.1 Contracting Officer's Technical Representative (COTR)
- (a) John Graham, is hereby designated as the Contracting Officer's Technical Representative. The COTR may be changed as any time by the Government without prior notice to the contractor but notification of the change, including the name and address of the successor COTR, will be promptly provided to the Contractor by the Contracting Officer in writing. The COTR is located at the U.S. Patent and Trademark Office, Scientific and Technical Information Center, Translation Branch, 2021 S. Clark Place, CP3 / 4, Room 2C15, Arlington, VA 22202. His telephone number is Area Code (703) 308-3293.
- (b) The responsibilities and limitations of the COTR are as follows:
- (1) The Contracting Officer's Technical Representative is responsible for the technical aspects of the project and technical liaison with the Contractor. The COTR is also responsible for the final inspection and acceptance of all reports, and such other responsibilities as may be specified in the contract.
- (2) The COTR is not authorized to make any commitments or otherwise obligate the Government or authorize any changes which affect the Contract price, terms or conditions. Any Contractor request for changes shall be referred to the Contracting Officer directly or through the COTR. No such changes shall be made without the expressed prior authorization of the Contracting Officer. The COTR may designate assistant COTR(s) to act for her by naming such assistant in writing and transmitting a copy of such designation through the Contracting Officer to the Contractor.

G.2 Invoices

The Contractor shall submit an invoice to USPTO twice a month. The invoice must contain the following: A cover sheet containing the following information on the top half of the sheet (the bottom half is to be left blank for USPTO use): Name and address of the Contractor, Contract number, Invoice date, Invoice number, Total cost of all Work Orders invoiced. Subsequent sheets shall list the following: Work Order (USPTO) number of each item invoiced, Cost of each item.

Invoicing Procedure - Orders placed by the Translations Branch in different fiscal years shall be billed on separate invoices. Should an invoice contain errors (typographical or other), it shall be returned to the Contractor to be corrected and resubmitted. The Contractor is advised that this may cause a delay in payment, since the receipt date in the USPTO shall be that of the corrected invoice. -The original invoice and one copy shall be submitted to the following address:

Box 17 Patent and Trademark Office Office of Finance RM 802B Washington, DC 20231.

An informational copy of the invoice shall be faxed or e-mailed to:

Chief
Translations Branch
Scientific and Technical Information Center
U.S. Patent and Trademark Office
Crystal Plaza 3-4, Rm. 2C15
2021 South Clark Place
Arlington, VA 22202

Invoice Processing - The items charged in the invoice will be verified and the charges for any late items accepted by the USPTO will be adjusted according to the guidelines. When processing is complete, after receipt and acceptance by the COTR, the Government will make payment according to the Prompt Payment Act for each invoice submitted making any adjustments if needed.

Section H - Special Contract Requirements

- H.1 The clause at 52.217-9 is applicable to this acquisition and is incorporated herein. 52.217-9 Option to Extend the Term of the Contract.
- (a) The Government shall have the unilateral option to extend the terms of this contract for four (4) consecutive additional periods of 12 months each. The same terms and conditions contained in this contract shall apply to each option exercised. The option(s) shall be exercised upon written notification (mailed or otherwise furnished) to the Contractor at least thirty (30) calendar days prior to expiration of the contract. The total duration of this contract, including the exercise of any option(s) shall not exceed five (5) years.
- (b) The exercise of options is a Government prerogative, not a contractual right on the part of the Contractor. If the Government exercises the option(s) within the prescribed time frames, the Contractor shall be bound to perform the services for the option period(s) or be subject to the default provisions of the contract.
- H.2 Performance-Based Contracting Implementation
 It is the policy of the USPTO to employ the use of performance-based contracting methods to the maximum extent practicable. In keeping with this policy, the USPTO reserves the right to modify the contract at any time during its performance to include performance-based principles.

Pursuant to USPTO policy on performance-based contracting, maximum cooperation by the contractor shall be required to implement and or convert the subject contract to include performance-based contracting methods. Such methods include but are not limited to: requirements, weighting relations, metrics, and incentives. The methods shall be agreed upon during the period of performance and prior to the commencement of each performance evaluation period.

Section I - CONTRACT CLAUSES

I.1 52.252-2 CLAUSES INCORPORATED BY REFERENCE (FEB 1998)

This contract incorporates one or more clauses by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. Also, the full text of a clause may be accessed electronically at this/these address(es):

I. FEDERAL ACQUISITION REGULATION (48 CFR CHAPTER 1) CLAUSES

NUMBER TITLE DATE
52.212-4 CONTRACT TERMS AND CONDITIONS-- MAY 2001
COMMERCIAL ITEMS

- I.2 52.212-5 CONTRACT TERMS AND CONDITIONS REQUIRED TO IMPLEMENT STATUTES OR EXECUTIVE ORDERS--COMMERCIAL ITEMS (MAY 2001)
 - (a) The Contractor shall comply with the following FAR clauses, which are incorporated in this contract by reference, to implement provisions of law or executive orders applicable to acquisitions of commercial items:
 - (1) 52.222-3, Convict Labor (E.O. 11755).
 - (2) 52.233-3, Protest after Award (31 U.S.C. 3553).
 - (b) The Contractor shall comply with the FAR clauses in this paragraph (b) which the contracting officer has indicated as being incorporated in this contract by reference to implement provisions of law or executive orders applicable to acquisitions of commercial items or components:
 - XX (1) 52.203-6, Restrictions on Subcontractor Sales to the Government, with Alternate I (41 U.S.C. 253g and 10 U.S.C. 2402).
 - __ (2) 52.219-3, Notice of HUBZone Small Business Set-Aside (Jan 1999).
 - (3) 52.219-4, Notice of Price Evaluation Preference for HUBZone Small Business Concerns (Jan 1999) (if the offeror elects to waive the preference, it shall so indicate in its offer).

I.2 (Continued)

- __ (4) (i) 52.219-5, Very Small Business Set-Aside (Pub. L. 103-403, section 304, Small Business Reauthorization and Amendments Act of 1994).
- (ii) Alternate I to 52.219-5
- (iii) Alternate II to 52.219-5
- XX (5) 52.219-8, Utilization of Small Business Concerns (15 U.S.C. 637 (d) (2) and (3)).
- __ (6) 52.219-9, Small Business Subcontracting Plan (15 U.S.C. 637 (d)(4)).
- XX (7) 52.219-14, Limitations on Subcontracting (15 U.S.C. 637(a)(14)).
- __ (8) (i) 52.219-23, Notice of Price Evaluation Adjustment for Small Disadvantaged Business Concerns (Pub. L. 103-355, section 7102, and 10 U.S.C. 2323) (if the offeror elects to waive the adjustment, it shall so indicate in its offer).
- (ii) Alternate I of 52.219-23.
- ___ (9) 52.219-25, Small Disadvantaged Business Participation Program--Disadvantaged Status and Reporting (Pub. L. 103-355, section 7102, and 10 U.S.C. 2323).
- (10) 52.219-26, Small Disadvantaged Business Participation Program--Incentive Subcontracting (Pub. L. 103-355, section 7102, and 10 U.S.C. 2323).
- XX (11) 52.222-21, Prohibition of Segregated Facilities (Feb 1999).
- XX (12) 52.222-26, Equal Opportunity (E.O. 11246).
- XX (13) 52.222-35, Affirmative Action for Disabled Veterans and Veterans of the Vietnam Era. (38 U.S.C. 4212).
- XX (14) 52.222-36, Affirmative Action for Workers with Disabilities (29 U.S.C. 793).
- XX (15) 52.222-37, Employment Reports on Disabled Veterans and Veterans of the Vietnam Era (38 U.S.C. 4212).
- __ (16) 52.222-19, Child Labor -- Cooperation with Authorities and Remedies (E.O. 13126).

 Page 15

1.2	(Continue	ed)		
	_	(17)	(i)	52.223-9, Estimate of Percentage of Recovered Material Content for EPA-Designated Products (42 U.S.C. 6962(c)(3)(A)(ii)).
		•	(ii)	Alternate I of 52.223-9 (42 U.S.C. 6962(i)(2)(C)).
		(18)		5-1, Buy American ActBalance of Payments Programies (41 U.S.C. 10a-10d).
	_	(19)	(i)	52.225-3, Buy American ActNorth American Trade AgreementIsraeli Trade ActBalance of Payments Program (41 U.S.C. 10a-10d, 19 U.S.C. 3301 note, 19 U.S.C. 2112 note).
			(ii)	Alternate I of 52.225-3.
			(iii)	Alternate II of 52.225-3.
	. —	(20)		5-5, Trade Agreements (19 U.S.C. 2501, et seq., 19 . 3301 note).
	_	(21)	52.22 12722	5-13, Restriction on Certain Foreign Purchases (E.O., 12724, 13059, 13067, 13121, and 13129).
	<u> </u>	(22)		5-15, Sanctioned European Union Country End Products 12849).
	xx	(23)		25-16, Sanctioned European Union Country Services 12849).
	_	(24)	52.23 Contr	32-33, Payment by Electronic Funds Transfer Central actor Registration (31 U.S.C. 3332).
	xx	(25)	52.23 than	32-34, Payment by Electronic Funds Transfer Other Central Contractor Registration (31 U.S.C. 3332).
•		(26)	52.23	32-36, Payment by Third Party (31 U.S.C. 3332):
		(27)	52.23	39-1, Privacy or Security Safeguards (5 U.S.C. 552a).
		(28)	(i)	52.247-64, Preference for Privately Owned U.SFlag Commercial Vessels (46 U.S.C. 1241).

(c) The Contractor shall comply with the FAR clauses in this paragraph (c), applicable to commercial services, which the Contracting Officer has indicated as being incorporated in this contract by reference to implement provisions of law or executive orders applicable to acquisitions of commercial items or components:

(ii) Alternate I of 52.247-64.

I.2 (Continued)

- __ (1) 52.222-41, Service Contract Act of 1965, As amended (41 U.S.C. 351, et seq.).
- __ (2) 52.222-42, Statement of Equivalent Rates for Federal Hires (29 U.S.C. 206 and 41 U.S.C. 351, et seq.).
- ___ (3) 52.222-43, Fair Labor Standards Act and Service Contract Act-Price Adjustment (Multiple Year and Option Contracts) (29 U.S.C. 206 and 41 U.S.C. 351, et seq.).
- _____(4) 52.222-44, Fair Labor Standards Act and Service Contract Act-Price Adjustment (29 U.S.C. 206 and 41 U.S.C. 351, et seq.).
- (5) 52.222-47, SCA Minimum Wages and Fringe Benefits
 Applicable to Successor Contract Pursuant to Predecessor
 Contractor Collective Bargaining Agreement (CBA) (41
 U.S.C. 351, et seq.).
- (d) Comptroller General Examination of Record. The Contractor shall comply with the provisions of this paragraph (d) if this contract was awarded using other than sealed bid, is in excess of the simplified acquisition threshold, and does not contain the clause at 52.215-2, Audit and Records--Negotiation.
 - (1) The Comptroller General of the United States, or an authorized representative of the Comptroller General, shall have access to and right to examine any of the Contractor's directly pertinent records involving transactions related to this contract.
 - (2) The Contractor shall make available at its offices at all reasonable times the records, materials, and other evidence for examination, audit, or reproduction, until 3 years after final payment under this contract or for any shorter period specified in FAR Subpart 4.7, Contractor Records Retention, of the other clauses of this contract. If this contract is completely or partially terminated, the records relating to the work terminated shall be made available for 3 years after any resulting final termination settlement. Records relating to appeals under the disputes clause or to litigation or the settlement of claims arising under or relating to this contract shall be made available until such appeals, litigation, or claims are finally resolved.
 - (3) As used in this clause, records include books, documents, accounting procedures and practices, and other data, regardless of type and regardless of form. This does not require the Contractor to create or maintain any record that the Contractor does not maintain in the ordinary course of business or pursuant to a provision of law.

Page 17

I.2 (Continued)

- (e) Notwithstanding the requirements of the clauses in paragraphs (a), (b), (c) or (d) of this clause, the Contractor is not required to include any FAR clause, other than those listed below (and as may be required by an addenda to this paragraph to establish the reasonableness of prices under Part 15), in a subcontract for commercial items or commercial components—
 - (1) 52.222-26, Equal Opportunity (E.O. 11246);
 - (2) 52.222-35, Affirmative Action for Disabled Veterans and Veterans of the Vietnam Era (38 U.S.C. 4212);
 - (3) 52.222-36, Affirmative Action for Workers with Disabilities (29 U.S.C. 793);
 - (4) 52.247-64, Preference for Privately Owned U.S.-Flag Commercial Vessels (46 U.S.C. 1241) (flow down not required for subcontracts awarded beginning May 1, 1996); and
 - (5) 52.222-41, Service Contract Act of 1965, As Amended (41 U.S.C. 351, et seq.).

I.3 52.216-19 ORDER LIMITATIONS (OCT 1995)

- (a) Minimum order. When the Government requires supplies or services covered by this contract in an amount of less than two, the Government is not obligated to purchase, nor is the Contractor obligated to furnish, those supplies or services under the contract.
- (b) Maximum order. The Contractor is not obligated to honor--
 - (1) Any order for a single item in excess of 10% of the estimated total volume of work annually;
 - (2) Any order for a combination of items in excess of 120% of the estimated total volume of work annually; or
 - (3) A series of orders from the same ordering office within days that together call for quantities exceeding the limitation in subparagraph (b)(1) or (2) of this section.
- (c) If this is a requirements contract (i.e., includes the Requirements clause at subsection 52.216-21 of the Federal Acquisition Regulation (FAR)), the Government is not required to order a part of any one requirement from the Contractor if that requirement exceeds the maximum-order limitations in paragraph (b) of this section.

(d) Notwithstanding paragraphs (b) and (c) of this section, the Contractor shall honor any order exceeding the maximum order limitations in paragraph (b), unless that order (or orders) is returned to the ordering office within days after issuance, with written notice stating the Contractor's intent not to ship the item (or items) called for and the reasons. Upon receiving this notice, the Government may acquire the supplies or services from another source.

I.4 52.216-22 Indefinite Quantity(Oct 1995)

- (a) This is an indefinite-quantity contract for the supplies or services specified, and effective for the period stated, in the Schedule. The quantities of supplies and services specified in the Schedule are estimates only and are not purchased by this contract.
- (b) Delivery or performance shall be made only as authorized by orders issued in accordance with the Ordering clause. The Contractor shall furnish to the Government, when and if ordered, the supplies or services specified in the Schedule up to and including the quantity designated in the Schedule as the "maximum." The Government shall order at least the quantity of supplies or services designated in the Schedule as the "minimum."
- (c) Except for any limitations on quantities in the Order Limitations clause or in the Schedule, there is no limit on the number of orders that may be issued. The Government may issue orders requiring delivery to multiple destinations or performance at multiple locations.
- (d) Any order issued during the effective period of this contract and not completed within that period shall be completed by the Contractor within the time specified in the order. The contract shall govern the Contractor's and Government's rights and obligations with respect to that order to the same extent as if the order were completed during the contract's effective period; provided, that the Contractor shall not be required to make any deliveries under this contract after August 14, 2006.

I.5 52.217-8 OPTION TO EXTEND SERVICES (NOV 1999)

The Government may require continued performance of any services within the limits and at the rates specified in the contract. These rates may be adjusted only as a result of revisions to prevailing labor rates provided by the Secretary of Labor. The option provision may be exercised more than once, but the total extension of performance hereunder shall not exceed 6 months. The Contracting Officer may exercise the option by written notice to the Contractor within 30 days.

CONTRACT DOCUMENTS, EXHIBITS, OR ATTACHMENTS

Technical Proposal of April 24, 2001 and Best and Final Offer of July 18, 2001 are incorporated by references.

Section K of April 24, 2001 is hereby incorporated by reference.